

Research Administration Lunch & Learn

November, 2016



- ❃ **Introduce Jeff Seo, AVP Research Compliance**
- ❃ **Update on NSF Award Compliance Training**
- ❃ ***Learn More: December Sessions***
- ❃ **Summary of Significant Changes & Clarifications to the NSF PAPPG**
- ❃ ***New UPAF+ Form***

Learn More: December Sessions

Industry-Sponsored Agreements

Friday, December 2nd from 2 -3 p.m.

Curry Student Center, Room 333

HIPAA

Tuesday, December 13th from 3 - 4p.m.

Curry Student Center, McLeod Suite


Summary of Significant Changes & Clarifications to the NSF PAPPG

- ❁ *NSF 17-1* *January 30, 2017*
- ❁ ***General Note:*** The PAPPG has been modified in its entirety, to remove all references to the *Grant Proposal Guide* (GPG) and *Award & Administration Guide* (AAG). The document will now be referred to solely as the *NSF Proposal & Award Policies & Procedures Guide* (PAPPG).
- ❁ **Please review the entire summary**



Collaborators & Other Affiliations Information:

-  Revised to remove the requirement that proposers list postgraduate scholar sponsors in this section of the proposal

Results from Prior NSF Support:





-  Additional instructions have been added regarding the type of information that should be included for projects that have been recently awarded, where no new results exist.

Other Direct Costs:



-  ***Incentive payments (e.g. to human subjects)*** should be included on line G6 of the NSF Budget and should be proposed in accordance with organizational policies and procedures.
-  Note: Indirect costs should be calculated on incentive payments in accordance with NU's Federally negotiated indirect cost rate

- ❁ ***Special Information & Supplementary Documentation:***
 - ❁ Updated to include the type of information that may be requested ***post-proposal submission*** by NSF in order to comply with Federal environmental statutes, including, but not limited to, the National Environmental Policy Act, the National Historic Preservation Act. And the Endangered Species Act.
 - ❁ Includes additional language to emphasize the importance of submitting letters of support only when specifically required by a program solicitation.

Proposals Involving Human Subjects:

-  Updated to reflect NSF's implementation of 45 CFR 690.118, *applications and proposals lacking definite plans for involvement of human subjects.*
-  NSF can accept a determination notice that establishes a limited time period under which the PI may conduct preliminary or conceptual work that does not involve human subjects.
-  A hypertext link is provided to an NSF-approved format that may be used to submit such determinations by proposing institutions.
-  Clarification has also been added regarding the IRB documentation that NSF must have in order to make an award when proposals involve human subjects.


Types of Proposals:

-  Describe various other types of proposals that can be submitted to NSF, including the *two new types*, RAISE and GOALI.
-  Includes proposal preparation instructions for each of the types of proposal that may supplement or deviate from the guidance provided elsewhere in Chapter II.



Sections of the Proposal:

-  Amended to include k. Single Copy Documents in the list of the required components of a full research proposal.


Biographical Sketches:

-  Revised to reflect that FastLane no longer accepts the Biographical Sketch inserted as text. The Biographical Sketch for each senior personnel **must be uploaded as a single PDF file associated with that individual.**



Domestic Travel

-  Revised to inform proposers that travel, meal and hotel expenses of grantee employees **who are not on travel status are unallowable.**
-  Additional language has also been added stating that costs of employees on travel status are limited to those specifically authorized by 2 CFR § 200.474.


Indirect Costs

-  Clarifies that the use of an indirect cost rate **lower than** the organization's approved negotiated indirect cost rate is considered a violation of NSF's cost sharing policy.

Voluntary Committed & Uncommitted Cost Sharing



-  Amended to include an additional reference to 2 CFR § 200.99, definition of voluntary committed cost sharing.
-  In accordance with the Uniform Guidance, in order to be considered voluntary committed cost sharing, the amount must appear on the NSF budget, and be specifically identified in the approved NSF budget. Voluntary uncommitted cost sharing, however, **should not be included** in the proposal budget or budget justification and these resources are not financially auditable by NSF.

 *Projects Requiring High-Performance Computing Resources, Large Amounts of Data Storage, or Advanced Visualization Resources:*

-  Includes additional language that clarifies how submitters can address the locally available high-performance computing resources in their proposal. The description of available computing resources has also been updated.

Significant Changes to the PAPPG Part II

Administrative and Clerical Salaries & Wages:

-  has been updated to reference 2 CFR § 200.413, Direct Costs.
-  Language has also been added to clarify that an AOR should initiate the request for NSF approval to direct charge salaries of administrative or clerical staff after an award has been made.

 *New UPAF +*

 *Begin using 12/1/17*

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