Agenda

• Controlled Unclassified Information (CUI)
• NU-RES Admin updates
• Spotlight: eCLAWs "Explanation" field
• ePAWs/Coeus server update 1/15/21
• New Department Check Deposit process
• Upcoming events
Controlled Unclassified Information (CUI)

- **DCSA** defines CUI as: *government created or owned information that requires safeguarding or dissemination controls consistent with applicable laws, regulations and government-wide policies. CUI is not classified information. It is not corporate intellectual property unless created for or included in requirements related to a government contract.*

- **National Archives** defines it as: *information that requires safeguarding or dissemination controls pursuant to and consistent with applicable law, regulations, and government-wide policies*
CUI: Example 1

• DOD funded contract
• DOD is not providing any data to Northeastern
• Contract includes term 252.204-7012 *Safeguarding Covered Defense Information*
• PI has specifically carved out a small portion marked as fundamental research
• The goal of the project is to create a new dual use algorithm
• There are prohibitions on foreign national participation
CUI: Example 2

- DOT funded contract
- DOT is providing data to Northeastern that is not publicly available for a research project on understanding interstate maintenance issues
- Entire project is fundamental
- The goal of the contract is the creation of a white paper on improving interstate coordination for road repairs
- There are no references to CUI in the contract
Current CUI Protection at Northeastern

- Get a GCC account for faculty/students on CUI projects
- Review contract/OTA/other non-standard (grant) RFPs for references to CUI
- Faculty members may request a TCP for their CUI project to outline protections
- Our new CUI webpage with additional information
Future State of CUI Protection

• Northeastern is seeking a Cybersecurity Maturity Model Certification (CMMC) level 3
• High standard for protection of data
• Without the CMMC certification, we would not be able to pursue certain federal contracts
## Tips for Identifying CUI

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<th>Cue</th>
<th>Follow up action</th>
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<td><strong>Proposal</strong></td>
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| RFP references:  
  • CUI  
  • NIST SP 800-171  
  • Restrictions on foreign nationals |  
  • Notify the PI to define applicability or not  
  • Coordinate with NU-RES to include exception letter |

| **Award Negotiation / Acceptance** | |
| Draft documents should include final determination of applicability | If applicable, NU-RES will notify PI to set up GCC Accounts |
Tips for Identifying CUI

• [https://www.archives.gov/cui/registry/category-marking-list](https://www.archives.gov/cui/registry/category-marking-list)

• CUI must be clearly marked

• Some CUI will just say CUI and others will include more specific headers regarding the controls:

• CUI//SP-EXPT for export controls
Institutional Resources for CUI

- ITS
  - https://its.northeastern.edu/category/security/
- NU-RES Research Compliance
  - https://research.northeastern.edu/nu-res/compliance/controlled-unclassified-information-cui/
Institutional Resources for CUI

• New information on the NU-RES website on Controlled Unclassified Information (CUI)

• Department of Defense (DOD), requires contractors receiving DOD contracts to undergo an assessment to determine their cybersecurity maturity
NU-RES Admin Updates

• NSF Training: Additional Live training dates scheduled Jan/Feb
  • Visit the NSF Training Page to register
  • ~80% of completions thusfar are through Live Training
  • As of 1/19/21, Overall Percent Completed = 46%
• eRA Will Require the Use of secure 2FA Login.gov to Access eRA Commons, ASSIST, IAR and Commons Mobile - September 2021 - see details here
NU-RES Admin Updates

Mini Learn More – February 2021

✓ Updated Award Obligation Setup SOP
✓ Updated Award Obligation budget
✓ Institutional Base Salary (IBS) Calculator Tool
Spotlight: eCLAWs "Explanation" field

- eCLAWs Explanation field is a tool for YOU!
  - List any VIP info about the record...e.g. NCE for “Other Unfunded”
  - Searchable! Enter terms from "Explanation" in the Search field
  - Viewable on the Dashboard by ALL ROLES (don't need access to the record)
ePAWs 1.0/Coeus Lite server/software update

• Hardware Server and Operating System were at ‘end of life’ and had to be upgraded (no longer supported by OS developer)
• Browser security issue
• Upgraded on 1/15/21...final task related to Coeus Lite Award applied 1/21/21
• Questions/concerns (NU-RESHC@northeastern.edu)
NEW Check Deposit Workflow

**Purpose**
- Deposit checks payable to Northeastern University received by the Colleges/PIs/Depart Admins
- Payments may include – sponsor payments, vendor refunds, return of leftover participant moneys, etc.

**OLD Process**
1. Paper form completed & approved by P/admin/budget manager sent to Treasury Services along with the check
2. Checks for Research grants (ledger 5) inconsistently sent to NU-RES Finance or Treasury Services for deposit

**NEW / Current Process Improvement**
1. College admin/PI completes online Deposit Request Form in K2 - https://northeastern.onk2.com/Runtime/Runtime/Form/Department+Deposit++Main/
2. The deposit request automatically routes to responsible department based on index number
   - Ledger 5 → NU-RES Finance
   - All other → Treasury Services
3. Submitter sends check (via interoffice) to responsible department referencing the request ID on the envelope
4. NU-RES Finance/Treasury deposit check and complete request in K2
5. Submitter receives system confirmation of deposit
Upcoming events

- NU-RES Fall events can be found on the [NU-RES Events Calendar](#)
- Next up:
  - [NSF Training](#) – Additional dates added Jan/Feb
  - [Virtual Satellite Office Hours](#), Thursday’s 1:30-2:30pm
NU-RES and You!

Thank You!

See you in February!